Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/GB2004/004244

International filing date: 07 October 2004 (07.10.2004)

Document type: Certified copy of priority document

Document details: Country/Office: GB

Number: 0323733.6

Filing date: 10 October 2003 (10.10.2003)

Date of receipt at the International Bureau: 28 July 2006 (28.07.2006)

Remark: Priority document submitted or transmitted to the International Bureau in

compliance with Rule 17.1(a) or (b)





The Patent Office Concept House Cardiff Road Newport South Wales NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

I also certify that the attached copy of the request for grant of a Patent (Form 1/77) bears an amendment, effected by this office, following a request by the applicant and agreed to by the Comptroller-General.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated 21 July 2006

Patents Form 1/7 Office nts Act 1977 (Rule 16) The Patent Office 1.0 OCT 2003 Request for grant of a patent (See the notes on the back of this form. You can also get Cardiff Road an explanatory leaflet from the Patent Office to help you fill it Newport NEWPORT this form) South Wales NP10 8QQ Your reference PC/GW/P12887GB Patent application number 0323733.6 11 0 OCT 2003 (The Patent Office will fill this part in) 3. Full name, address and postcode of the or of Heriot-Watt University each applicant (underline all surnames) Edinburgh EH14 4AS United Kingdom Patents ADP number (if you know it) 201701200 If the applicant is a corporate body, give the country/state of its incorporation Title of the invention Conductive Polymer MARKE CLERK Name of your agent (if you have one) Cruikshank & Fairweather 19 Royal Exchange Square "Address for service" in the United Kingdom Glasgow to which all correspondence should be sent G1 3AE (including the postcode) Patents ADP number (if you know it) 547002 6. Priority: Complete this section if you are Date of filing Priority application number Country declaring priority from one or more earlier (if you know it) (day / month / year) patent applications, filed in the last 12 months. Divisionals, etc: Complete this section only if Number of earlier UK application Date of filing this application is a divisional application or (day / month / year) resulted from an entitlement dispute (see note f) Is a Patents Form 7/77 (Statement of inventorship and of right to grant of a patent) required in support of this request? Answer YES if: Yes a) any applicant named in part 3 is not an inventor, or b) there is an inventor who is not named as an applicant, or c) any named applicant is a corporate body.

Otherwise answer NO (See note d)

Patents Form 1/77

Patents	Form	1/77

100CT03 :843609 3 D002.3____

96 Accompanying documents: A patent application must include a description of the invention.

Not counting duplicates, please enter the number of pages of each item accompanying this form:

Continuation sheets of this form

Description

17

Claim(s)

Abstract

Drawing(s)

1 + (for

If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for a preliminary examination and search (Patents Form 9/77)

Request for a substantive examination (Patents Form 10/77)

Any other documents (please specify)

11. I/We request the grant of a patent on the basis of this application.

Signature(s)

Cruikshank & Fairweather

Date 9/10/2003

12. Name, daytime telephone number and e-mail address, if any, of person to contact in the United Kingdom

Dr Paul G Chapman

0141 221 5767

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- d) If you have answered YES in part 8, a Patents Form 7/77 will need to be filed.
- e) Once you have filled in the form you must remember to sign and date it.
- f) Part 7 should only be completed when a divisional application is being made under section 15(4), or when an application is being made under section 8(3), 12(6) or 37(4) following an entitlement dispute. By completing part 7 you are requesting that this application takes the same filing date as an earlier UK application. If you want the new application to have the same priority date(s) as the earlier UK application, you should also complete part 6 with the priority details.

CONDUCTIVE POLYMER

FIELD OF THE INVENTION

This invention relates to an intrinsically conducting polymer (ICP), a plastics based electrode and a method for making such an electrode. In particular, the plastics based electrode comprises a plastic strip of cellulose acetate acting as a substrate with a thin coating of intrinsically conducting poly (3, ethylenedioxythiophene) and poly (4-styrenesulphonate) PEDOT/PSS) polymer comprising an amount vinylacetate/ethylene copolymer. The plastics based electrode may be used in apparatus for the detection of dental caries.

15

20

25

10

BACKGROUND OF THE INVENTION

Certain polymers can be changed from being electrically insulating to conductive by oxidation process. As these polymers are electrically conductive without the need of using filler materials, such as carbon particles and metallic fibres, these polymers are commonly known as intrinsically conducting polymers (ICPs). The conductive nature of ICPs comes from the conjugated electronic system of alternating single and double bonds in the backbone of the polymers

ICPs combine the electronic and optical properties of metals and semiconductors while retaining the processing advantages of polymers; making ICPs suitable for various applications [1-3] such as antistatic and magnetic coatings, thin solid films, modified electrodes, batteries, sensors, actuators, ion-exchange materials and molecular devices.

The chemical, structure of PEDOT/PSS is shown below:

20

25

PEDOT/PSS is a highly conjugated polymer with many advantageous properties such as good thermal stability [4] in its oxidised state (i.e. doped form), high electrical conductivity [5] and excellent film formability [6]. However, there are significant

disadvantages to PEDOT/PSS polymers which limit the scope of their application such as:

- Pristine films cast from PEDOT/PSS exhibit poor mechanical properties (i.e. they are weak and brittle); and
- 2. PEDOT/PSS coatings exhibit very poor adhesion on plastic substrates due to the extensive conjugation in the main chain structure of the polymer resulting in increased chain stiffness and exfoliation of the coating.

It is an object of at least one aspect of the present invention to obviate or at least mitigate at least one or more of the aforementioned problems.

It is a further object of the present invention to

15 provide a plastics based electrode which has good

mechanical properties.

SUMMARY OF THE INVENTION

5

10

20

According to a first aspect of the present invention there is provided an intrinsically conducting polymer (ICP) blend obtainable by adding:

- a. a mixture of poly (3,5-ethylenedioxythiophene) and poly(4-styrenesulphonate) (i.e. PEDOT/PSS); to
- b. a copolymer of vinylacetate and ethylene

to thereby form the intrinsically conducting polymer (ICP) blend.

By intrinsically conducting polymer is meant a polymer which is electrically conductive without the need of using filler materials such as carbon particles and metallic fibres.

5

15

Typically, the viscosity of the PEDOT/PSS may be about 60 to about 100 mPa.s.

The amount of PSS present may be in excess of the

amount of PEDOT. Conveniently, the ratio of PEDOT:PSS

ranges from about 1:1.1 to about 1:5 or from about 1:1.3

to about 1:2 or may preferably be about 1:2.5.

The PEDOT/PSS may be in a liquid form and may have a concentration of about 1-2% by weight, about 1-10% by weight or about 3% by weight.

Conveniently, the PEDOT/PSS may be dissolved in a solvent such as water.

Typically, the ratio of vinylacetate:ethylene may be The particle size of the vinyacetate: about 80:20. ethylene mixture may be about 0.1 - 10 microns, 0.1 - 520 microns, 0.3 - 3.0 microns or about 0.3 - 1.2 microns. The viscosity of the vinylacetate:ethylene copolymer may be about 1,000 - 40,000 mPa.s, about 1 - 20,000 mPa.s, about 14,000 mPa.s orabout 2,500 mPa.s. vinylacetate:ethylene copolymer mixture may be acidic and 25 may have a pH of about 2 - 6, about 3 - 5 or about 4.25.

Prior to mixing the PEDOT/PSS and the copolymer of vinylacetate and ethylene, the PEDOT/PSS may be mixed with an acid such as a carboxylic acid. The carboxylic acid may, for example, be selected from any $C_1 - C_{20}$ carboxylic acid. Typically, the carboxylic acid may be any of methanoic acid, ethanoic acid, propanoic acid, butanoic acid, pentanoic acid, hexanoic acid, heptanoic acid and octanoic acid.

5

10

15

20

25

Conveniently, the ICP formed by mixing the PEDOT/PSS and the copolymer of vinylacetate and ethylene may form a substantially homogenous blend.

According to a second aspect of the present invention, there is provided a coated product wherein the coated product comprises a substrate with a coating of an intrinsically conducting polymer (ICP) blend comprising PEDOT/PSS and a copolymer of vinylacetate and ethylene according to the first aspect.

The coating is found to adhere strongly to the substrate, has good mechanical stability and is resistant to exfoliating.

Typically, the substrate may be a plastics based material such as cellulose acetate.

Conveniently, the coating may have a thickness of about 0.001 to 0.5 mm or about 0.01 to 0.1 mm. In particular, the thickness of the coating may be about 0.02, 0.03 and 0.04 mm.

Typically, the resistance of a coated part of the coated substrate may be about 0.1 to 500 k-ohm.

The coated substrate may be treated with a metal salt solution dissolved in aqueous acid. The metal salt solution may, for example, be magnesium sulphate. The concentration of the salt solution may be about 0.01 to 5 M, about 0.05 to 1 M or about 0.1 M. The aqueous acid may, for example, be a short chain carboxylic acid such as formic acid. The volume ratio of the carboxylic acid such as formic acid and water may, for example, be about 1:1 to 1:4.

5

10

15

20

25

The treated coated substrate may then be rinsed successively with water to remove excess salt, followed by ethanol and acetone. The substrates were finally dried in an oven at about 40°C .

Treating the coated substrate with a metal salt solution dissolved in aqueous acid has the effect of 'fine tuning' the surface and decreases the surface resistance to about less than 5 k-ohms, less than 1 k-ohms or less than about 0.5 k-ohms. The treated surface therefore has improved conducting properties.

According to a third aspect of the present invention there is provided a method of forming a coated substrate wherein coating material is formed by adding PEDOT/PSS to a copolymer of vinylacetate and ethylene to form an intrinsically conducting polymer (ICP) blend according to

the first aspect and depositing the intrinsically conductive polymer (ICP) blend onto a substrate.

Typically, the ICP blend may be deposited by any suitable means such as spraying, brushing, or using a dropper such as a syringe.

5

10

15

20

25

fourth aspect of the According to a invention there is provided an electrode comprising a coated substrate wherein the coating of the coated substrate is an intrinsically conducting polymer (ICP) copolymer comprising PEDOT/PSS and а blend vinylacetate and ethylene according to the first aspect.

The electrode may be used in a wide range of applications such as:

- (1) dental apparatus for the detection of caries;
- of, for example, indium-tin-oxide (ITO), and a light emitting layer of organic polymers used widely in molecular devices the PEDOT/PSS copolymer blends may polarise the otherwise rough ITO surface, modify the wetting properties of the ITO surface for subsequent organic layer deposition and increase the anode work function, thus facilitating hole injection, and
- (3) PEDOT/PSS copolymer blends can be deposited on many fabrics, both natural and synthetic resulting in novel conductive composite materials.

According to a fifth aspect of the present invention there is provided dental apparatus for the detection of dental caries comprising:

at least one probe electrode comprising a coated substrate wherein the coating of the coated substrate is an intrinsically conducting polymer of PEDOT/PSS and a copolymer of vinylacetate and ethylene according to the first aspect, wherein the at least one probe is adapted to be placed in electrical contact with a surface of a patient's tooth;

5

.10

20

25

a second electrode adapted to be placed in electrical contact with another part of the body of the patient;

an alternating current source adapted for passing an alternating electrical current between said at least one probe electrode and said second electrode;

impedance measurement means for measuring the electrical impedance between the at least one probe electrode and the second electrode to said electrical current;

wherein said alternating current source is a variable frequency alternating current source whereby the frequency of the alternating current applied to the tooth may be varied over a predetermined frequency range and the impedance measurement means is adapted to measure

impedancies corresponding to a plurality of frequency values within said range.

By monitoring the impedance values, abnormalities in the detected signal may be used to detect and locate dental caries.

BRIEF DESCRIPTION OF THE DRAWINGS

Embodiments of the present invention will now be described, by way of example only, with reference to the accompanying drawings in which:

Figure 1 is a schematic representation of apparatus used for the detection of dental caries.

DETAILED DESCRIPTION

15 Materials

5

10

1. PEDOT/PSS was obtained as an aqueous dispersion from Bayer Germany. Characteristic properties of PEDOT/PSS are shown in Table 1 below.

Table 1 - Characteristic properties of PEDOT/PSS

Sample	Particle Size (microns)	Solids (%)	Density (g/cc)	Viscosity (mPa.s)	рн
PEDOT/ PSS*	0.08-1.0	1.2-1.4	1.003	60-100	1.5-2.5

20

Molar Ratio 1:2.5

dark blue odourless liquid

conductivity up to 10 S/cm (depending upon the type

of coating formulation)

2. The copolymers used in the formulation were provided by Clariant, Germany. Characteristic properties of the copolymer, a non-plasticised aqueous dispersion based on vinylacetate and ethylene are shown in Table 2 below.

Table 2 - Characteristic properties of the copolymer vinylacetate and ethylene.

Sample	Particle Size	Solids	Density	Viscosity	Hq
	(microns)	(%)	(g/cc)	(mPa.s)	
Copolymer1	0.3-1.2	55	1.06	2,500	4.25
Copolymer2	0.3-3.0	55	-	14,000	4.25

10

15

5

Method

Two conducting polymer blends were formulated:

Type 1 - PEDOT/PSS - copolymer blend; and

Type 2 - PEDOT/PSS - solvent - copolymer blends.

Tables 3 and 4 shown below represent the specific recipes for each of these blends and relevant properties.

Table 3 - Resistance values for PEDOT/PSS - Copolymer 1 blends

Blend	Sample	Compo	sition (w	t. grms)	Thick	Resistance k-Ohm		
		ICP		Copoly1		1	d Treated	
Туре	1	0.3	T -	0.018	0.02	320	T -	
I								
Туре	2	0.45	-	0.018	0.03	238	<u> </u>	
I								
Type	3	0.6	 	0.018	0.04	170	 	
I								
Туре	4	0.4	0.05	0.02	0.02	2.3	1.0	
II	-							
Type	5	0.6	0.05	0.02	.04	8.0	1.2	
II .			,					
Туре	6	0.6	0.05	0.018	0.04	5.0	_	
II				,				
Туре	7	0.6	0.05	0.016	0.04	3.0	0.5	
II .								

Table 4 - Resistance values for PEDOT/PSS - Copolymer 2 blends

Blend	Sample	Composition (wt. grms)			Thick	Resistanc		
		ICP	Solvent	Copoly2	(nun)	Untreated	Treated	
Туре	1	0.45 .	-	0.018	0.03	238	-	
I			,					
Type .	2	0.45	0.04	0.018	0.03	10	0.3	
II								
Туре	3	0.45	0.08	0.018	0.03	4.6	-	
II	,							
Туре	4	0.45	0.08	0.024	0.03	7.6	-	
II .								
Type	5	0.45	0.05	0.009	0.03	8.1	0.34	
II								
Туре	6	0.45	0.05	0.016	0.03	8.4	0.17	
II								

The type 1 blends were formed by mixing an aqueous dispersion of PEDOT/PSS with either copolymer 1 or copolymer 2 using mortar and pestle to obtain a homogenous blend. A known quantity of the resulting blend was cast onto cellulose acetate plastic strips (obtained from Associated Dental Products Limited, UK) with a syringe to obtain a uniform coating. After casting, the substrates were cured overnight at room temperature in a fume hood.

5

10

The procedure was repeated for type II blends except

that the aqueous dispersion of PEDOT/PSS was mixed first

with formic acid (96%, Aldrich) which is used as a solvent and then with the copolymer to obtain a homogenous blend.

The coated substrates for both type I and type II

blends were then weighed to determine the conductive polymer content. The thickness of the coatings were then evaluated using vernier callipers.

Each modified substrate of the type I and type II form were treated with about 0.1 M magnesium sulphate dissolved in aqueous acid for about 4 hours. The treated substrates were then rinsed successively with water to remove excess salt, rinsed with ethanol and acetone and finally kept in an air oven at 40°C until the substrates were completely dry.

Surface resistance of the substrates was measured using a four-point probe technique at various locations on each substrate. The test results for copolymers 1 and 2 are shown in Tables 3 and 4, respectively.

20 **DISCUSSION**

25

PEDOT/PSS is initially obtained as an aqueous dispersion in water. In this dispersion, PEDOT, the charge transporting species is in its oxidised state i.e. doped form. The counter-ion PSS is in excess with respect to the positively charged PEDOT chain. The ratio of PEDOT:PSS is about 1:2.5. Although not wishing to be

bound by theory, this leads to the formation of a nonstoichiometric soluble polyelectrolyte complex [7] defined by the PSS random coil with PEDOT chains ionically linked alongside and the area between the grains consisting of neutral PSS. When the dispersion is cast onto a substrate, a thin polymer film is formed with morphological feature characterised by homogeneous distribution of PEDOT and PSS species within the conducting grains surrounded by a non-conductive PSS shell [8]. This illustrates the key role played by granularity and disorder in the conduction of conjugated polymers [9,10].

5

10

15

20

25

Incorporation of а small quantity οf the vinylacetate/ethylene copolymer to PEDOT/PSS adds a nonconducting barrier which increases the resistance of the deposited films as observed in Tables 3 and 4 for the On the other hand when the PEDOT/PSS is type I blends. first mixed with formic acid and then with vinylacetate/ethylene copolymer, as in the case of type II blends, a dramatic decrease in resistance of the deposited films by a factor of over 200 was observed when compared to type I blends. This indicates particularly significant role of the solvent in changing the morphology of the deposited films resulting enhanced conducting properties.

Tables 3 and 4 also show that when the coated strips of type II blends were post-treated with magnesium salt in an aqueous acid, a further fine tuning of the resistance by a factor of 10 was achieved.

5

10

15

20

25

The coated strips made of type II blends combine high conductivity with good flexibility and mechanical stability. Furthermore, tensile tests carried out on the strips indicate no exfoliation of the coated layer even at the breaking point, indicating good adhesion onto the surface. This was further confirmed by the 'cross hatch' test which conforms with BS 3900 Eb to assess the adhesion of the deposited coatings.

Figure 1 is a schematic representation of apparatus, generally designated 10, which is used for the electronic detection of dental caries. The electronic detection apparatus 10 comprises a first electrode probe 12 which is placed in electrical contact with a patient's tooth and a second electrode 14 which is placed in electrical contact with another part of the body of the patient. An alternating current is passed from an a.c. source 16 between the probe 12 and the second electrode 14.

The first electrode probe 12 comprises a plastics substrate of cellulose acetate which has a coating formed by adding a mixture of PEDOT/PPS to a copolymer of vinylacetate and ethylene to form an intrinsically conducting polymer (ICP) blend. To increase the

conductivity of the coated substrate, the PEDOT/PSS is first mixed with formic acid prior to the addition of the copolymer of vinylacetate and ethylene. The coated substrate is also treated with about a 0.1 M magnesium sulphate salt solution dissolved in aqueous acid and thereafter dried in an oven at about 40°C. This salt solution treatment is found to increase the conductivity by a factor of 10.

By varying the frequency of the alternating a.c. current over a predetermined frequency range, the electrical impedance between the probe 12 and the second electrode 14 is measured by electrical impedance measurement means 18. By analysing the changes in electrical impedance using data processing and control means 20, an assessment of the condition of a tooth may be made and an assessment if there are any dental caries present.

REFERENCES

5

- [1] S. Ghosh, Olle Inganas, Synth. Met. 121 (2001) 1321
- [2] G.K. Elyashevich, V.K. Lavrentyev, I.S. Kuryndin, E. Yu.Rosova, Synth. Met. 119 (2001) 277
- [3] L.B. Groendaal, F. Jonas, D. Freitag, H. Pielartzik, J.R. Reynolds, Adv. Mater. 12 (2000) 481
- [4] J.C. Gustafson, B. Liedberg, O. Inganas, Sol. State
 Ionics 69 (1994) 145
- 10 [5] L. Groendall, G. Zotti, F. Jonas, Synth. Met. 118 (1-3) (2001) 105
 - [6] H. W. Heuer et al., Adv. Funct. Mater. 12 No. 2 (2002) 83
 - [7] s. Ghosh, J. Rasmusson, O. Inganas, Adv. Mater. 10 (1998) 1097
 - [8] G. Grezynski, T. Kugler, W.R. Salaneck, Thin Solid Films 354 (1999) 129
 - [9] V.N. Progodin, A.J. Epstein, Synth. Met 125 (2001)
- 20 [10] J.P. Travers, B. Sixou, D. Berner, A. Wolter, P. Rannou, B. Beau, B. Pepin-Donat, C. Barthet, M. Gugliemi, N. Merilliod, B. Gilles, D. Djurado, A.J. Attias, M. Vautrin, Synth. Met. 101 (1999) 359

15

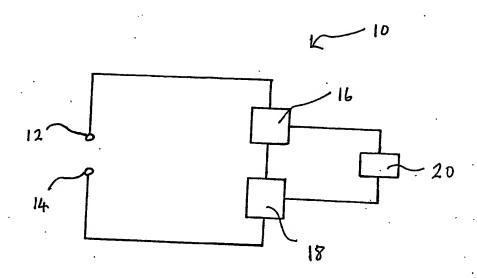


Fig. 1

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:
☐ BLACK BORDERS
\square image cut off at top, bottom or sides
A FADED TEXT OR DRAWING
BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.